PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Under the Pa Application Number & TRAD 10/711.180 TRANSMITTAL Filing Date 2004/8/31 **FORM** First Named Inventor Pei-Ching Kuo Art Unit (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number LITP0047USA Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication ~ Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Winston Hsu, Reg. No.: 41,526 Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

the date shown below,	·	
Typed or printed name		
Signature	Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ct of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Under the

FEE TRANS	AAITT A I	Complete if Known		
FEETRANS	DIVILLIAL	Application Number	10/711,180	
for FY 2	2004	Filing Date	2004/8/31	
Effective 10/01/2003. Patent fees are su		First Named Inventor	Pei-Ching Kuo	
		Examiner Name		
Applicant claims small entity status. See 37 CFR 1.27		Art Unit		
TOTAL AMOUNT OF PAYMENT	(\$) 0.00	Attorney Docket No.	LITP0047USA	

METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued)			
Check Credit card Money Other None	3. ADDITIONAL FEES		
Order U	Large Entity Small Entity		
Deposit Account:	Fee Fee Fee Fee Fee Description		
Deposit Account 50-3105	Code (\$) Code (\$) 1051 130 2051 65 Surcharge - late filing fee or oath		
Number Deposit			
Account Name North America Intellectual Property Corp.	1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet		
The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification		
Charge fee(s) indicated below Credit any overpayments	1812 2,520 1812 2,520 For filing a request for ex parte reexamination		
Charge any additional fee(s) or any underpayment of fee(s)	1804 920* 1804 920* Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee	1805 1,840* 1805 1,840* Requesting publication of SIR after		
to the above-identified deposit account.	Examiner action —————		
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month		
1. BASIC FILING FEE	1252 420 2252 210 Extension for reply within second month		
Large Entity Small Entity	1253 950 2253 475 Extension for reply within third month		
Fee Fee Fee Fee Description Fee Paid Code (\$)	1254 1,480 2254 740 Extension for reply within fourth month		
1001 770 2001 385 Utility filing fee	1255 2,010 2255 1,005 Extension for reply within fifth month		
1002 340 2002 170 Design filing fee	1401 330 2401 165 Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402 330 2402 165 Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 0.00	1452 110 2452 55 Petition to revive - unavoidable		
	1453 1,330 2453 665 Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,330 2501 665 Utility issue fee (or reissue)		
Extra Claims below Fee Paid	1502 480 2502 240 Design issue fee		
Total Claims X = X = X	1503 640 2503 320 Plant issue fee		
Claims - 3" = L X L = 1	1460 130 1460 130 Petitions to the Commissioner		
Multiple Dependent	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)		
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806 180 1806 180 Submission of Information Disclosure Stmt		
Code (\$) Code (\$)	8021 40 8021 40 Recording each patent assignment per property (times number of properties)		
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809 770 2809 385 Filing a submission after final rejection		
1203 290 2203 145 Multiple dependent claim, if not paid	(37 CFR 1.129(a)) 1810 770 2810 385 For each additional invention to be		
1204 86 2204 43 ** Reissue independent claims over original patent	examined (37 CFR 1.129(b))		
1205 18 2205 9 ** Reissue claims in excess of 20	1801 770 2801 385 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination		
and over original patent	of a design application		
SUBTOTAL (2) (\$) 0.00	Other fee (specify)		
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00		

SUBMITTED BY		(Complete (if applicable))
Name (Print/Type)	Winston Hsu Registration No. (Attorney/Agent) 41,526	Telephone 886289237350
Signature	Windon Hay	Date 9/16/2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. PTO/SB/02B (08-03)
Approved for use through 08/31/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION – Supplemental Priority Data Sheet

Foreign applications:					
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO	
Prior Foreign Application Number(s) 092124522	Country Taiwan R.O.C.	Foreign Filling Date (MM/DD/YYYY) 9/4/2003		YES NO	
	:				

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



中華民國經濟部智慧財產局

INTELLECTUAL PROPERTY OFFICE
MINISTRY OF ECONOMIC AFFAIRS
REPUBLIC OF CHINA

茲證明所附文件,係本局存檔中原申請案的副本,正確無訛,其申請資料如下:

This is to certify that annexed is a true copy from the records of this office of the application as originally filed which is identified hereunde

申 請 日 : 西元 <u>2003</u> 年 <u>09</u> 月 <u>04</u> 日 Application Date

申 請 案 號: 092124522

Application No.

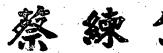
리도 리드 리드 리드

인도 인도 인도 인도 인도

申 請 人: 建興電子科技股份有限公司 Applicant(s)

> 局 Director General

CERTIFIED COPY OF PRIORITY DOCUMENT



發文日期: 西元 2004 年 5 月

Issue Date

發文字號: 09320411440

Serial No.



인도 인터 인터 인터 인터 인터 인터 인터 인터 인터 인터

申請日期:	IPC分類	_
申請案號:	·	

1 0/3 // 0/0		
(以上各欄)	由本局填記	發明專利說明書
_	中文	可偵測振動/衝擊之元件
發明名稱	英文	Device Capable of Detecting Vibration/Shock
	姓 名 (中文)	1.郭佩菁
= .	姓 名 (英文)	1.Kuo Pei-Ching
發明人 (共2人)	國 籍 (中英文)	1. 中華民國 TW
(共2八)	住居所(中文)	1. 新竹市科學園區力行路12號5樓)
	住居所(英文)	1.5F, No.12, Li-Hsin Road, Science-Based Industrial Park, Hsinchu 300, Taiwan R.O.C.
	姓 名 (中文)	1. 建興電子科技股份有限公司
	名稱或 姓 名 (英文)	1.LiteON IT Corporation
゠	國 籍 (中英文)	1. 中華民國 TW
申請人(共1人)	住居所 (營業所) (中 文)	
	住居所 (營業所) (英 文)	1.5F, No.12, Li-Hsin Road, Science-Based Industrial Park, Hsinchu 300, Taiwan R.O.C.
	代表人(中文)	1. 宋恭源
	代表人 (英文)	1. Raymond Soong
	OPERATE VERNE PERSONAL	22 P. LEWIS CO. P. LEWIS CO. LEWIS C



申請日期:	IPC分類	
申請案號:		
(…」 力 畑 上 土 戸 坊 六十)	,	

(以上各欄E	由本局填言	^{±)} 發明專利說明書
_	中文	
發明名稱	英文	
	姓 名 (中文)	2. 廖正堯
-	姓 名 (英文)	2.Liao Cheng-Yao
發明人	國 籍 (中英文)	2. 中華民國 TW
(共2人)	住居所(中文)	2. 新竹市科學園區力行路12號5樓
:	住居所	2.5F, No.12, Li-Hsin Road, Science-Based Industrial Park, Hsinchu 300, Taiwan R.O.C.
	名稱或 姓 名 (中文)	
	名稱或 姓 名 (英文)	
<u> </u>	國 籍 (中英文)	
申請人(共1人)	住居所 (營業所) (中 文)	
	住居所 (營業所) (英 文)	
	代表人(中文)	
	代表人(英文)	



四、中文發明摘要 (發明名稱:)

本發明為一種可偵測振動/衝擊之元件。將整合於一晶片的雷射二極體與振動/衝擊感測器或者整合於一晶片的光偵測器與振動/衝擊感測器置於光碟機讀寫頭,使得光碟機讀寫頭之中具有偵測振動/衝擊的元件。因此,光碟機可獲得即時的振動/衝擊偵測,改善以循軌誤差訊號來判斷振動/衝擊時反應過慢或誤判的情形發生。

五、英文發明摘要 (發明名稱:Device Capable of Detecting Vibration/Shock)

The present invention discloses a device capable of detecting vibration/shock. A pick-up head of an optical drive has a laser chip integrated with a vibration/shock sensor or a photo detector chip integrated with a vibration/shock sensor. The pick-up head can immediately detect vibration or shock by the sensor. In this way, the drawbacks of sensing delay or error detection by using



四、中文發明摘要 (發明名稱:) 五、英文發明摘要 (發明名稱:Device Capable of Detecting Vibration/Shock) tracking error signal can be salved.

六、指定代表圖

- (一)、本案代表圖為第2(a)、2(b)_圖
- (二)、本案代表圖之元件代表符號簡單說明:
- 60晶片
- 62 光 偵 測 器
- 64振動/衝擊感測器
- 70晶片
- 72 雷射二極體
- 74 振動/衝擊感測器



一、本案已向			
國家(地區)申請專利	申請日期	案 號	主張專利法第二十四條第一項優先在
		無	
二、□主張專利法第二十 <i>五</i>	I條之一第一項 (憂先權:	
申請案號:		ts.	
日期:		無	
三、主張本案係符合專利法	去第二十條第一 ^J	項[]第一款但書	或□第二款但書規定之期間
日期:			
四、□有關微生物已寄存方	仒國外:		
寄存國家:		無	
寄存機構: 寄存日期:			
寄存號碼:	·	ウェタ右線性)	
□有關微生物已寄存方 寄存機構:	《國內(本局所指	泛之奇仔機構力	
寄存日期:		無	
寄存號碼:			
□熟習該項技術者易方	 	0	
	•		

五、發明說明(1)

【發明所屬之技術領域】

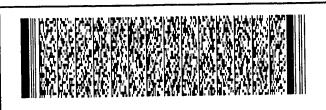
本發明是有關於一種可偵測振動/衝擊之元件,且特別是有關於一種整合振動/衝擊感測器於雷射二極體或者光感測器晶片中之光碟機讀寫頭。

【先前技術】

一般來說,光碟機受到振動/衝擊時會造成光碟機讀取光碟片資料或者寫入光碟片資料發生錯誤,或者由於振動過於激烈而導致光碟機讀寫頭或者光碟片損傷。

為了防止光碟機或者光碟片損傷,光碟機必須要有偵測振動/衝擊之機制。一般來說,在光碟機中,循軌誤差(Tracking Error)訊號可用來作為判斷光碟機振動/衝擊的判斷訊號。當光碟機受到振動/衝擊時,循軌誤差訊號的穩態誤差(Steady State Error)會變大,甚至變成不穩定的震盪。因此,利用偵測循軌誤差訊號的狀況可以決定光碟機受到的震動/衝擊的大小,而相對於此振動/衝擊光碟機所必須採取的動作,例如,降低光碟機轉速,或者關機(Shut Down)。

然而,習知利用循軌誤差訊號來作為判斷光碟機所受之振動/衝擊的方法可能不夠即時,亦即,當光碟機利用循軌誤差訊號來偵測光碟機受到的振動/衝擊時,通常傷害已經造成,也就是說,讀取光碟片資料或者寫入光碟片





五、發明說明 (2)

資料已經發生錯誤。

再者,有時振動/衝擊並不會伴隨循軌誤差訊號產生,或者循軌誤差訊號的穩態誤差的變化並不是由光碟機受到振動/衝擊所造成。因此,習知利用循軌誤差訊號來作為判斷光碟機受到振動/衝擊的方法會產稱誤判,造成光碟機讀寫時的效率降低。

【發明內容】

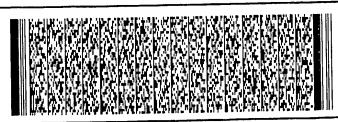
發明目的

本發明的目的係提供一種可偵測振動/衝擊之元件。 其可獲得即時的振動/衝擊偵測,改善以循軌誤差訊號來 判斷振動/衝擊時反應過慢或誤判的情形發生。

【發明特徵】

本發明提出一種可偵測振動/衝擊之元件,其簡述如下: 雷射二極體可發出一雷射光束; 以及,振動/衝擊感測器係用以偵測光碟機之振動力或者衝擊力; 其中,雷射二極體與振動/衝擊感測器係形成於單一晶片上。

本發明更提出一種可偵測振動/衝擊之元件,其簡述如下:光偵測器接收反射的雷射光束;以及,振動/衝擊感測器係用以偵測光碟機之振動力或者衝擊力;其中,光偵測器與振動/衝擊感測器係形成於單一晶片上。



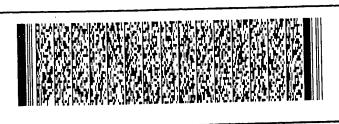
五、發明說明(3)

為了使 貴審查委員能更進一步瞭解本發明特徵及技術內容,請參閱以下有關本發明之詳細說明與附圖,然而所附圖式僅提供參考與說明用,並非用來對本發明加以限制。

【發明實施方式】

請參照第1圖,其所繪示為光碟機讀寫頭與光碟片相對位置示意圖。一般來說,光碟機讀寫頭40中至少有雷射二極體(Laser Diode)20,分光器(Beam Splitter)50,光偵測器(Photo Detector)30。其中,雷射二極體20發出雷射光束12,經過分光器50聚焦於光碟片10上,而光碟片10上的反射雷射光束14會經過分光器50,改變方向並由光偵測器30接收反射雷射光束。

請參照第2(a)、與2(b)圖,其所繪示為本發明可偵測振動/衝擊之光碟機讀寫頭之示意圖。如第2(a)圖之繪示,振動/衝擊感測器64係整合於光偵測器62的晶片上。一般來說,振動/衝擊感測器64可為壓電式、壓阻式、電容式等等的形式。而這些振動/衝擊感測器可根據結構的改變,例如,應變、位移、或者加速度,來決定本身所接受的震動/衝擊的大小。而這些振動/衝擊感測器之製作皆可相容於一般的積體電路製程。也就是說,利用一般積體電路的設備即可完成振動/衝擊感測器的製作。因此,利





五、發明說明(4)

用製作光偵測器62的製程,即可在相同的晶片60上形成一振動/衝擊感測器64。

同理,第2(b)圖係為振動/衝擊感測器整合於雷射二極體之示意圖。由於振動/衝擊感測器74亦可整合於者雷射二極體72製程。因此,將振動/衝擊感測器74整合於雷射二極體72的製程即可完成如第2(a)圖之整合元件(整合振動/衝擊感測器74與雷射二極體72)。如此,可降低晶片的製作成本,並更可以將二元件的控制電路直接製作於單一晶片70上。

再者,由於本發明的光碟機讀寫頭中具有一振動/衝擊感測器,因此,習知以循軌誤差訊號來判斷光碟機受到振動/衝擊的機制即可以本發明來取代。再者,習知光碟機振動/衝擊的誤判機率也可大幅降低。

舉例來說,請參照第3(a)、3(b)、3(c)圖,其所繪示為整合光偵測器與振動衝擊感測器於單一晶片之結構示意圖。如第3(a)圖之繪示,在P型基板(P substrate)上以離子佈植法形成一高摻雜N型區域,並以金屬材料82接觸P型基板以及高摻雜N型區域即可形成一PN型光偵測器80。再者,在形成光偵測器80的製程中,形成介電層(Dielectric Layer)84時,可在介電層84中形成堆疊的金屬材料92。





五、發明說明 (5)

如第3(b)圖之繪示,在介電層中指狀電極之區域上利用介電層之深反應離子性蝕刻(Deep Reactive Ion Etch, DRIE)步驟,以形成指狀電極(Comb Fingers)94。

接著,如第3(c)圖之繪示,最後再將此晶片作基板的等向性蝕刻(Isotropic Etch),之後,即形成指狀電極的懸浮結構96,並且完成振動/衝擊感測器。因此,第3(c)圖即為整合於單一晶片之光偵測器80與振動/衝擊感測器90。

因此,本發明的優點係提出一種可偵測振動/衝擊之元件。本發明將整合於一晶片的雷射二極體與振動/衝擊感測器或者整合於一晶片的光偵測器與振動/衝擊感測器置於光碟機讀寫頭,使得光碟機讀寫頭之中具有偵測振動/衝擊的元件。因此,光碟機可獲得即時的振動/衝擊偵測,改善以循軌誤差訊號來判斷振動/衝擊時反應過慢或誤判的情形發生。

綜上所述,雖然本發明已以較佳實施例揭露如上,然其並非用以限定本發明,任何熟習此技藝者,在不脫離本發明之精神和範圍內,當可作各種之更動與潤飾,因此本發明之保護範圍當視後附之申請專利範圍所界定者為準。





圖式簡單說明

第1圖所繪示為光碟機讀寫頭與光碟片相對位置示意圖; 第2(a)圖所繪示為本發明振動/衝擊感測器整合於光偵測 器的晶片上;

第2(b) 圖所繪示為本發明振動/衝擊感測器整合於雷射二極體的晶片上;以及

第3(a)、3(b)、3(c)圖所繪示為整合光偵測器與振動衝擊。 感測器於單一晶片之結構示意圖。

【圖號說明】

- 10 光碟片
- 12 雷射光束
- 14反射雷射光束
- 20 雷射二極體
- 30 光 偵 測 器
- 40光碟機讀寫頭
- 50分光器
- 60晶片
- 62 光 偵 測 器
- 64振動/衝擊感測器
- 70晶片
- 72 雷射二極體
- 74 振動/衝擊感測器
- 80PN型光偵測器
- 82二金屬材料



圖式簡單說明

- 84介電層
- 90振動/衝擊感測器
- 92堆疊的金屬材料
- 94指狀電極
- 96指狀電極的懸浮結構



六、申請專利範圍

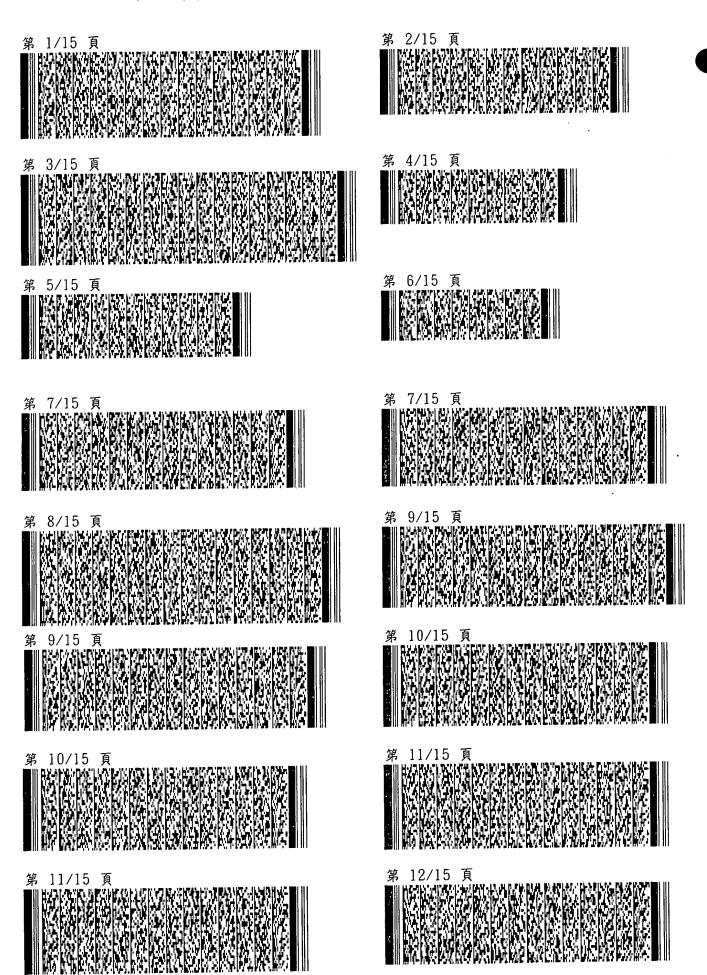
- 1. 一種可偵測振動/衝擊之元件,至少包括:
- 一雷射二極體,該雷射二極體可發出一雷射光東;以及
- 一振動/衝擊感測器,該振動/衝擊感測器係用以偵測一振動力或者一衝擊力;
- 其中,該雷射二極體與該振動/衝擊感測器係形成於一晶片上。
- 2. 如申請專利範圍第1項所述之可偵測振動/衝擊之元件,其中該振動/衝擊感測器具有一指狀電極的懸浮結構。
 - 3. 一種可偵測振動/衝擊之元件,至少包括:
- 一光偵測器,該光偵測器接收一反射的雷射光束;以及
- 一振動/衝擊感測器,該振動/衝擊感測器係用以偵測一振動力或者一衝擊力;
- 其中,該光偵測器與該振動/衝擊感測器係形成於一晶片上。
- 4. 如申請專利範圍第3項所述之可偵測振動/衝擊之元件,其中該振動/衝擊感測器具有一指狀電極的懸浮結構。



六、申請專利範圍

- 5. 一種可偵測振動/衝擊之光碟機讀寫頭,至少包括:
 - 一晶片;以及
- 一振動/衝擊感測器,形成於該晶片上,該振動/衝擊感測器係用以偵測一光碟機之一振動力或者一衝擊力。
- 6. 如申請專利範圍第5項所述之可偵測振動/衝擊之 光碟機讀寫頭,其中該振動/衝擊感測器具有一指狀電極 的懸浮結構。

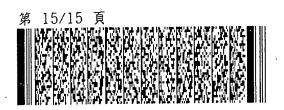


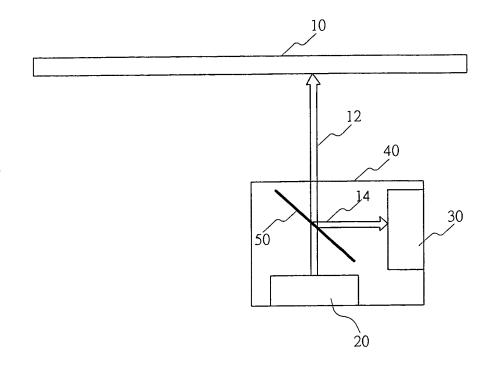


(4.6版)申請案件名稱:

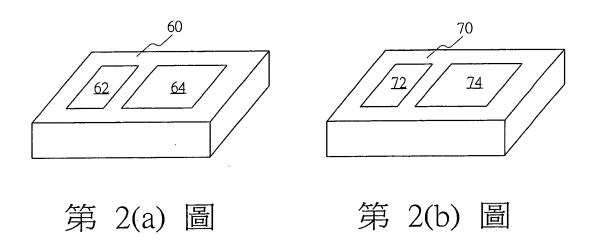




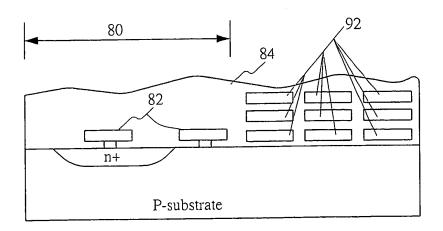




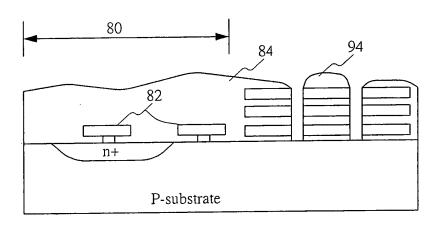
第 1 圖



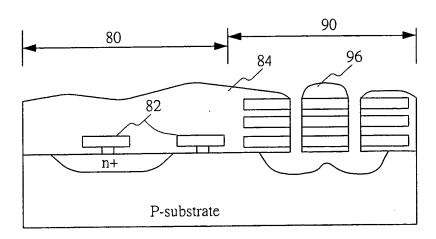
圖式



第 3(a) 圖



第 3(b) 圖



第 3(c) 圖